## ICANN: Globally At Large?

Seoul ICANN
Workshop
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## Internet - Global Statistics

1997:

1.3 M Level 2 Domains

(NSI Jul 1997)

22.5 Million Hosts

(Bellcore June 1997)

190 IP countries

(Cerf est. June 1997)

50 Million Users

(Jul 1997)

2000:

12 M Level 2 Domains

(NSI Apr 2000)

72 Million Hosts

(NW/TC Jan 2000)

218/246 IP countries

(NW Jan 2000)

276 Million Users

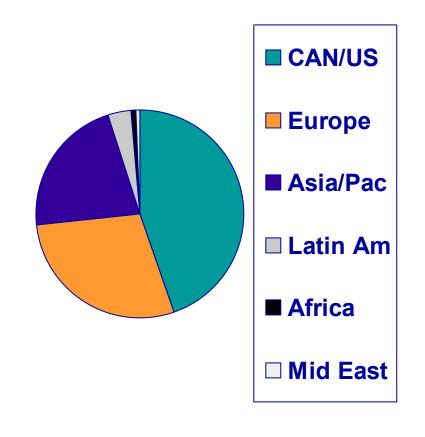
(NUA Feb 2000)

(Compare: 950 Million Telephone Terminations)

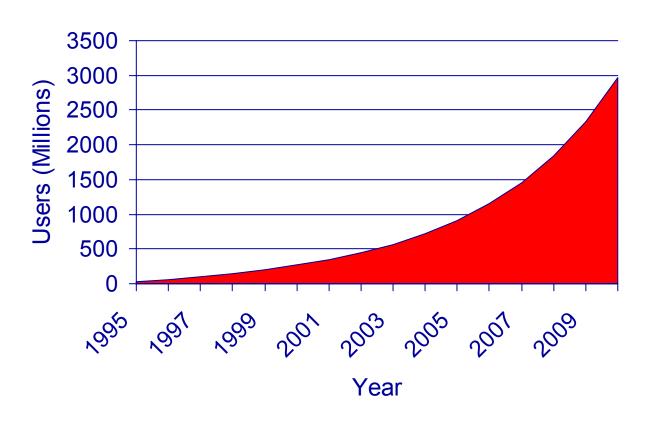
### Users on the Internet - Feb 2000

- CAN/US 135.06M
- Europe 71.99M
- Asia/Pac 54.90M
- Latin Am 8.79M
- Africa 2.46M
- Mid-east 1.29 M

• Total - 275.54M (Source www.nua.ie)



## Internet User Trends



Source: Nua Internet Surveys

## ICANN: The Basic Idea

ICANN =

An Experiment in
Technical Self-Management
by the global Internet
community

## ICANN: The Basic Bargain

### ICANN =

Internationalization
of Policy Functions for DNS and IP
Addressing systems

+

Private Sector (non-governmental) Management

### What does ICANN do?

Coordinates policies relating to the unique assignment of:

- Internet domain names
- Numerical IP Address
- Protocol Port and Parameter Numbers

### Coordinates the DNS Root Server System

 through Root Server System Advisory Committee

# Says The Economist:

- "ICANN is in many ways a completely new institutional animal."
- "It is a hybrid between an online community and a real-world governance structure, an untested combination."
- "It is also a new type of international organisation: an industry trying to regulate part of itself, across the globe, with little or no input from national governments."

(10 June 2000)

### Domain names & IP addresses

- Domain names are the familiar, easy-to-remember names for computers on the Internet
  - e.g., amazon.com, icann.org, nic.or.kr
- Domain names correlate to Internet Protocol numbers (IP numbers) (e.g., 98.37.241.130) that serve as routing addresses on the Internet
- The domain name system (DNS) translates domain names into IP numbers needed for routing packets of information over the Internet

# Categories of Internet Domains

- Generic Top Level Domains (gTLDs)
  - · .com, .net. .org, .gov, .mil, .edu, .int, .arpa
  - .com, .net. .org open for registration by all persons and entities on a global basis
  - Proposals to add many more gTLDs (.shop, .arts, .union, etc.)
- Country Code Top Level Domains (ccTLDs)
  - .kr., .uk, .fr, .us, .mx, .ca, .de, etc.
  - Registration requirements vary by domain (many require domicile within the territory or other connection with the territory)
  - Derived from ISO 3166-1 list

## Status Quo Ante ICANN

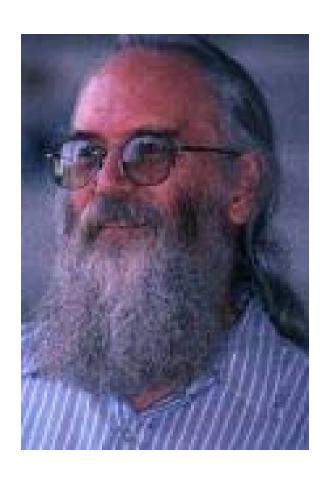
Most Internet DNS and IP Address coordination functions performed by, or on behalf of, the US government:

- Defense Advanced Research Projects Agency (DARPA)
  - Information Sciences Institute (ISI) of University of Southern California
  - Stanford Research Institute (SRI)
- National Science Foundation (NSF)
  - IBM, MCI, and Merit
  - AT&T, General Atomics, Network Solutions, Inc. (NSI)
- National Aeronautics and Space Administration (NASA)
- US Department of Energy

### IANA

- "Internet Assigned Numbers Authority"
- A set of technical management functions (root management; IP address bloc allocations) previously performed by the Information Sciences Institute (ISI) at the University of Southern California, under a contract with DARPA
- Includes protocol parameter and port number assignment functions defined by the Internet Engineering Task Force (IETF)
- Now a part of ICANN

# IANA



Jon Postel 1943-1998

# Need for Change

- Globalization of Internet
- Commercialization of Internet
- Need for <u>accountability</u>
- Need for more <u>formalized management</u> structure
- Dissatisfaction with <u>lack of competition</u>
- Trademark/domain name conflicts

# White Paper Principles

White Paper: new policy/management structure must promote 4 goals:

- Stability
- Competition
- Private, bottom-up coordination
- Representation

# White Paper Implementation

- Internet community to form non-profit corporation meeting White Paper's 4 criteria
- US Government (through Commerce Department) to transition centralized coordination functions
- Amendment of Network Solutions agreement to require competitive registrars in gTLD registries
- Request to WIPO to study & recommend solutions for trademark/domain-name conflicts

## Status of Transition from USG

- ✓ 25 November, 1998 ICANN recognized in MoU
- ✓ June, 1999 Cooperative agreement among ICANN, US Government, root server operators
- √ 10 November, 1999
  - ICANN and Network Solutions sign gTLD registry and registrar agreements
  - DoC transfers root authority over gTLDs to ICANN
- √ 9 February, 2000
  - Contract with US Government to complete transfer of IANA functions

## Policy Objectives for Year 2000

- New Top-Level Domains
- At Large Membership Elections
- ccTLD registry agreements
- IP Address registry agreements
- Root server operator agreements
- September 30, 2000 Target date for ICANN to settle all registry + registrar + root server relationships

### Domain Name Issues

#### Uniform Dispute Resolution Policy

- Optional, non-binding alternative to court
- Average time to resolution: 35-40 days
- Targets abusive, bad-faith cybersquatting
- Applies to .com, .net, and .org (not ccTLDs)
- Four providers: National Arbitration Forum,
   Disputes.org/e-Resolutions; WIPO; CPR

#### Competition in registration services

- Pre-ICANN: Monopoly provider (NSI) for .com, .net, .org; minimum cost of US
   \$70
- Now: Over 45 competitors worldwide (+ resellers); prices start at US \$10

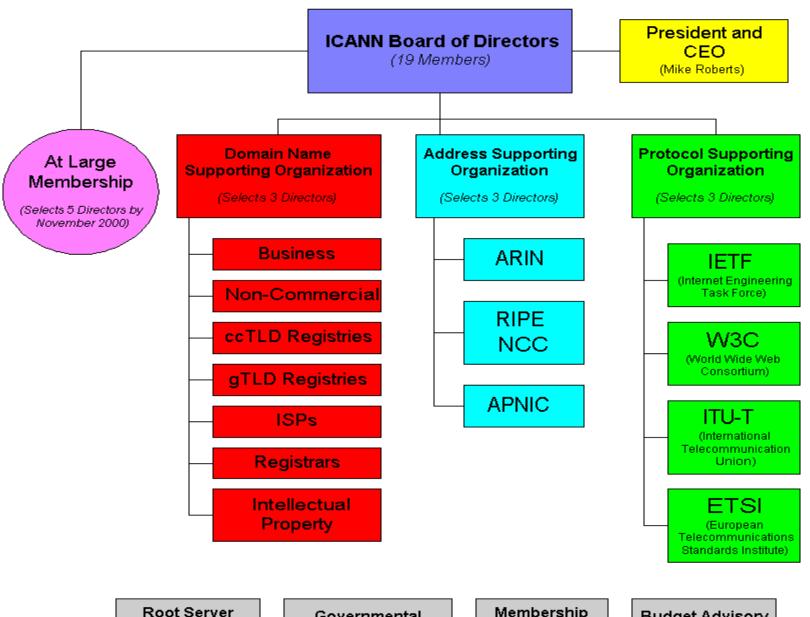
#### New Top-Level Domains

ICANN Board to make decision on how to proceed in July; staff proposals posted

#### Internationalization of DNS character sets

- Problem for technical standards bodies (i.e., IETF), not ICANN
- Need for open standard & interoperability with existing DNS

# Structure of ICANN



Root Server System Advisory Committee Governmental Advisory Committee Membership Implementation Task Force

Budget Advisory Group

## **ICANN** Board of Directors

#### **At Large Directors:**

- Esther Dyson (USA) –
   Chairman
- Geraldine Capdeboscq (France)
- George Conrades (USA)
- Greg Crew (Australia)
- Frank Fitzsimmons (USA)
- Hans Kraaijenbrink (Netherlands)
- Jun Murai (Japan)
- Eugenio Triana (Spain)
- Linda S. Wilson (USA)

#### **ASO Directors:**

- Blokzijl (Netherlands)
- Fockler (Canada)
- Wong (Hong Kong, China)

#### **DNSO Directors:**

- Abril i Abril (Spain)
- Cohen (Canada)
- Pisanty (Mexico)

#### **PSO Directors:**

- Abramatic (France)
- Cerf (USA)
- Davidson (U. K.)

## **ICANN** Staff

New Model: Lightweight (minimal staff = minimal bureaucracy)

#### **Current Staff:**

- President and CEO (Mike Roberts)
- Vice President/General Counsel (Louis Touton)
- Chief Policy Officer/CFO (Andrew McLaughlin)
- Registrar Liaison (Dan Halloran)
- IANA staff (Joyce Reynolds, Michelle Schipper, Suzanne Woolf)
- Network Administrator (Jim Villaruz)

# At Large Membership

- Open to any individual with verifiable name, email address, physical address
- Free to join and to vote
- Members will directly elect 5 ICANN Directors by November 2000 (Election by Region)
- Nominations committee + self-nomination
- 6-month study period to follow first election
- Membership Implementation Task Force
- JOIN! <a href="http://members.icann.org">http://members.icann.org</a>

### Applications for Membership (~29 June)

8188	<b>United States</b>	310	Austria
5047	Germany	290	Switzerland
4251	Japan	236	India
1323	<b>United Kingdom</b>	208	Netherlands
1010	Canada	164	Ireland
521	South Korea	157	Italy
433	France	139	Spain
363	Australia	127	Mexico
322	Thailand	120	Argentina
		119	New Zealand

# Why Elect Directors?

- Accountability
- Transparency
- Representation
  - Geographic
  - Sectoral
- Diversity of views
- Distributed architecture of selection
- BUT: ICANN needs high-quality directors, a goal which may be in tension with representation

# ICANN = Cybergovernment?

### A: NO!

- ICANN has no inherent coercive power, only the ability to enter into contractual relationships through a process of consensus & consent
- ICANN is not a substitute for the powers of governments (i.e., courts and laws)

# Does ICANN regulate?

- No: ICANN <u>coordinates</u>.
- But: technical coordination of unique values sometimes requires accounting for nontechnical policy interests:
  - Data privacy protection
    - (WHOIS database)
  - Intellectual property/trademark law
    - (UDRP)
  - Competition law
    - (Registrar accreditation for .com, .net, .org)

## What ICANN doesn't do

- Network security
- Spam
- Web Sites' Data Privacy Practices
- Internet Content
  - Pornography
  - Hate speech
  - Copyright violations
  - Deceptive business practices / consumer protection
- Multi-jurisdictional commercial disputes
- Definition of technical standards
  - Network surveillance and traceability
- Internet gambling

### What ICANN is NOT

- Technical Standard-Setting Body
- Internet Police Force
- Consumer Protection Agency
- Economic Development Agency
- Legislature or Court

# Lessons from the Experiment?

- Private-sector self-management is possible, if narrowly chartered
- Global consensus on policy is difficult to define; even harder to achieve
  - Consensus is a tradition in the technical community in which ICANN is rooted, because you can test solutions & refer to objective data
  - Consensus on policy questions can be elusive, because it depends upon subjective values

## Message to You:

(and to all Internet communities)

## **GET INVOLVED!!!**

Consensus means you have to show up to be heard.

www.icann.org

## For Further Information:

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http://www.icann.org